

APPENDIX A

Docket No. 23623-7052

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

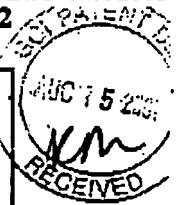
[X] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

[] Pursuant to 37 C.F.R. § 1.8(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner _____ at Facsimile No. _____ as _____ a.m./p.m.

Dated: August 2, 2001

Name of Person Certifying: Nancy Hinte

Printed Name: Nancy Hinte



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE JUN 14 2007

Applicant:	Davis et al.	Assignee:	Genencor International, Inc.
Filing Date:	April 2, 2001	Examiner:	Not Assigned
Serial No.:	09/824,827	Group Art Unit:	Not Assigned
Title:	Synthesis and Use of Glycodendrimer Reagents		

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

EXHIBIT C

Submission of Missing Parts of Application Under 37 C.F.R. 1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on June 4, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [4] pages
Payment for surcharge for late filing ☐ \$65.00 *small entity* ☒ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. (_ months), [] page(s) Fee \$ _____
- ☐ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice of Missing Parts of Application dated June 4, 2001.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Return Postcard.
- ☐ Other: _____

TOTAL FEES: \$130.00

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DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

As below named inventors,

We hereby declare that our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYNTHESIS AND USE OF GLYCODENDRIMER REAGENTS, the specification of which

☐ is attached hereto.

☒ was filed on April 2, 2001 and assigned Application Serial Number 09/824,827.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign applications for patent or inventor's certificate, listed below and so identified, and we have also identified below any foreign application for patent or inventor's certificate on this invention filed by my or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

Number	Country	Day/Month/Year Filed	Priority Claimed - Yes or No

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status
09/347,029	July 2, 1999	Pending
09/556,466	April 21, 2000	Pending

We hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States provisional application in the

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- ☒ **Conditional Petition for Extension of Time** ^{APPENDIX A} An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered. RECEIVED
JUN 14 2007
FAX CENTER
- ☐ Check in the amount of \$ _____, Check No. _____ to cover the Total Fees due is enclosed.
- ☒ Please charge the above fee(s) to Deposit Account No. 50-1193, Docket No. 23623-7052, in the amount of \$130.00 to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1193, Docket No. 23623-7052. *A duplicate copy of this sheet is enclosed.*

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: August 2, 2001

Respectfully submitted,

By: 

John W. Calkins, Esq.
Registration No. 43,523

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center
San Francisco, California 94111
Telephone: (415) 393-2000
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EXHIBIT C

APPENDIX A

manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior provisional application and the filing date of this application.

Provisional Application No.	Filing Date	Status

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls with respect to this application be directed to

McCUTCHEN, DOYLE, BROWN & ENERSEN, LLP

Three Embarcadero Center,
San Francisco, California 94111
Telephone No. (415) 393-2000
Facsimile No. (415) 393-2286

Attorney	Registration No.	Attorney	Registration No.
David Beck	37,776	John W. Calkins	43,523
Patricia R. Coleman James	37,155	Darren E. Donnelly	44,093
Terry Garnett	44,698	Carol M. Gruppi	37,341
J. David Hadden	40,629	Susan Hubl	47,668
David W. Maher	40,077	Christian Platt	46,998
Roger Sampson	44,314	Michael J. Shuster	41,310
William E. Thomson, Jr.	20,719	Michael E. Woods	33,466
Rajiv Yadav	43,999	Vincent K. Yip	42,245

Full name of
first joint inventor:

Benjamin G. Davis

Inventor's signature:

Benjamin G. Davis

Date:

1/8/11

Residence

Post Office Address:

Citizenship:

1 Finney Terrace
Durham, DH1 1RT, United Kingdom
United Kingdom

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EXHIBIT C

Full name of
second joint inventor:

John Bryan Jones

Inventor's signature:

JB Jones

Date: 24 July 2001

Residence
Post Office Address:
Citizenship:

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Lakefield, Ontario, Canada K01 2H0
Canada

Full name of
third joint inventor:

Richard R. Bott

Inventor's signature:

Date: _____

Residence
Post Office Address:
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3032 Hillside Drive
Burlingame, CA 94010
United States

APPENDIX A

EXHIBIT C

Full name of
second joint inventor:

John Bryan Jones

Inventor's signature:

Date: _____

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Full name of
third joint inventor:

Richard R. Bott

Inventor's signature:

Date: 1 - August 2001

Residence
Post Office Address:
Citizenship:

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Burlingame, CA 94010
United States

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,827	04/02/2001	Benjamin G. Davis	23623-7052

CONFIRMATION NO. 2521

FORMALITIES LETTER



OC000000006141150

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center, 28th Floor
San Francisco, CA 94111

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

H-T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE